

Please type a plus (+) sign inside this box

PTO/SB/05 REV 1 (12/97)
Approved for use through 09/30/2000. omb 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

The Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.)

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

2760-047

First Inventor or Application Identifier:

Robert M. Davis

Title

Articulating Disc Screen Apparatus for Recyclable Materials

Express Mail Label No.

EL584488748US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 15]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC) 113 [Total Sheets 2]
4. ☒ Oath or Declaration [Total Pages 1]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached in the prior application, see
37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy
of the oath or declaration is supplied under Box 4b, is considered
as being part of the disclosure of the accompanying application
and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement Verifying identity

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☒ Small Entity ☐ Statement filed in prior application,
Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other: _____

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____ / _____
Prior application information: Examiner _____ Group/Art Unit _____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME

ATTN: Michael H. Jester

THE LAW OFFICES OF MICHAEL H. JESTER

ADDRESS

750 B STREET, SUITE 2560

SYMPHONY TOWERS

CITY

SAN DIEGO

STATE

CALIFORNIA

ZIP CODE

92101

COUNTRY

USA

TELEPHONE

(619) 231-9090

FAX

(619) 231-9093

Name (Print/Type)

Michael H. Jester

Registration No. (Attorney/Agent)

28,022

Signature

m h jester

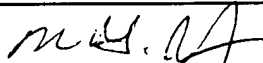
☒

Date

11/21/01

☒

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <p style="margin: 5px 0;">Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997.</p> <p style="margin: 5px 0;">Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12 See 37 C.F.R. §§ 1.27 and 1.28</p>		Complete If Known																																																																																																																																																																			
		Application Number	To be Assigned																																																																																																																																																																		
		Filing Date	Herewith																																																																																																																																																																		
		First Named Inventor	Robert M. Davis																																																																																																																																																																		
		Examiner Name	To be Assigned																																																																																																																																																																		
		Group / Art Unit	To be Assigned																																																																																																																																																																		
TOTAL AMOUNT OF PAYMENT		\$ 370.00																																																																																																																																																																			
METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																			
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:</p> <p>Deposit Account Number: <u>50 0626</u></p> <p>Deposit Account Name: <u>Michael H. Jester</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance. 37 CFR 1.311(b)</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Code</th> <th>Large Entity (\$)</th> <th>Small Entity Code</th> <th>Small Entity (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for response within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for response within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for response within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for response within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for response within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive unavoidably abandoned application</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive unintentionally abandoned application</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> </tbody> </table> <p>Other fee (specify) _____</p> <p>Other fee (specify) _____</p>		Large Entity Code	Large Entity (\$)	Small Entity Code	Small Entity (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for response within first month		116	400	216	200	Extension for response within second month		117	920	217	460	Extension for response within third month		118	1,440	218	720	Extension for response within fourth month		128	1,960	228	980	Extension for response within fifth month		119	310	219	155	Notice of Appeal		120	310	220	155	Filing a brief in support of an appeal		121	270	221	135	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive unavoidably abandoned application		141	1,240	241	620	Petition to revive unintentionally abandoned application		142	1,240	242	620	Utility issue fee (or reissue)		143	440	243	220	Design issue fee		144	600	244	300	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	180	126	180	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))		149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
Large Entity Code	Large Entity (\$)	Small Entity Code	Small Entity (\$)	Fee Description	Fee Paid																																																																																																																																																																
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																	
127	50	227	25	Surcharge - late provisional filing or cover sheet																																																																																																																																																																	
139	130	139	130	Non-English specification																																																																																																																																																																	
147	2,520	147	2,520	For filing a request for reexamination																																																																																																																																																																	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																	
115	110	215	55	Extension for response within first month																																																																																																																																																																	
116	400	216	200	Extension for response within second month																																																																																																																																																																	
117	920	217	460	Extension for response within third month																																																																																																																																																																	
118	1,440	218	720	Extension for response within fourth month																																																																																																																																																																	
128	1,960	228	980	Extension for response within fifth month																																																																																																																																																																	
119	310	219	155	Notice of Appeal																																																																																																																																																																	
120	310	220	155	Filing a brief in support of an appeal																																																																																																																																																																	
121	270	221	135	Request for oral hearing																																																																																																																																																																	
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																	
140	110	240	55	Petition to revive unavoidably abandoned application																																																																																																																																																																	
141	1,240	241	620	Petition to revive unintentionally abandoned application																																																																																																																																																																	
142	1,240	242	620	Utility issue fee (or reissue)																																																																																																																																																																	
143	440	243	220	Design issue fee																																																																																																																																																																	
144	600	244	300	Plant issue fee																																																																																																																																																																	
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																	
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																	
126	180	126	180	Submission of Information Disclosure Stmt																																																																																																																																																																	
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																	
FEE CALCULATION		SUBTOTAL (3) (\$)																																																																																																																																																																			
<p>1. BASIC FILING FEE</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Code</th> <th>Large Entity (\$)</th> <th>Small Entity Code</th> <th>Small Entity (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>740</td><td>201</td><td>370</td><td>Utility filing fee</td><td>370.00</td></tr> <tr><td>106</td><td>330</td><td>206</td><td>165</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>510</td><td>207</td><td>255</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>740</td><td>208</td><td>370</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>160</td><td>214</td><td>80</td><td>Provisional filing fee</td><td></td></tr> <tr><td colspan="5" style="text-align: right;">SUBTOTAL (1)</td><td>(\$ 370.00)</td></tr> </tbody> </table> <p>2. EXTRA CLAIM FEES</p> <p>Claims below Fee Paid</p> <p>Total Claims 20 - 20** = x =</p> <p>Independent Claims 3 - 3** = x =</p> <p>Multiple Dependent Claims = x =</p> <p>** or number previously paid, if greater; For Reissues, see below</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Code</th> <th>Large Entity (\$)</th> <th>Small Entity Code</th> <th>Small Entity (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td></tr> <tr><td>102</td><td>84</td><td>202</td><td>42</td><td>Independent claims in excess of 3</td></tr> <tr><td>104</td><td>280</td><td>204</td><td>140</td><td>Multiple dependent claim</td></tr> <tr><td>109</td><td>84</td><td>209</td><td>42</td><td>** Reissue independent claims over original patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td></tr> <tr><td colspan="5" style="text-align: right;">SUBTOTAL (2) (\$)</td></tr> </tbody> </table>		Large Entity Code	Large Entity (\$)	Small Entity Code	Small Entity (\$)	Fee Description	Fee Paid	101	740	201	370	Utility filing fee	370.00	106	330	206	165	Design filing fee		107	510	207	255	Plant filing fee		108	740	208	370	Reissue filing fee		114	160	214	80	Provisional filing fee		SUBTOTAL (1)					(\$ 370.00)	Large Entity Code	Large Entity (\$)	Small Entity Code	Small Entity (\$)	Fee Description	103	18	203	9	Claims in excess of 20	102	84	202	42	Independent claims in excess of 3	104	280	204	140	Multiple dependent claim	109	84	209	42	** Reissue independent claims over original patent	110	18	210	9	** Reissue claims in excess of 20 and over original patent	SUBTOTAL (2) (\$)					<p>*Reduced by Basic Filing Fee Paid</p>																																																																																						
Large Entity Code	Large Entity (\$)	Small Entity Code	Small Entity (\$)	Fee Description	Fee Paid																																																																																																																																																																
101	740	201	370	Utility filing fee	370.00																																																																																																																																																																
106	330	206	165	Design filing fee																																																																																																																																																																	
107	510	207	255	Plant filing fee																																																																																																																																																																	
108	740	208	370	Reissue filing fee																																																																																																																																																																	
114	160	214	80	Provisional filing fee																																																																																																																																																																	
SUBTOTAL (1)					(\$ 370.00)																																																																																																																																																																
Large Entity Code	Large Entity (\$)	Small Entity Code	Small Entity (\$)	Fee Description																																																																																																																																																																	
103	18	203	9	Claims in excess of 20																																																																																																																																																																	
102	84	202	42	Independent claims in excess of 3																																																																																																																																																																	
104	280	204	140	Multiple dependent claim																																																																																																																																																																	
109	84	209	42	** Reissue independent claims over original patent																																																																																																																																																																	
110	18	210	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																																	
SUBTOTAL (2) (\$)																																																																																																																																																																					
SUBMITTED BY		COMPLETE (if applicable)																																																																																																																																																																			
Typed or Printed Name	Michael H. Jester	Reg. Number	28,022																																																																																																																																																																		
Signature		Date	11/21/01																																																																																																																																																																		
		Deposit Account User ID	50 0626																																																																																																																																																																		

COPY OF PAPERS
ORIGINALLY FILEDRS
ED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent application of:)
Robert M. Davis) Examiner: To be Assigned
Serial No.: To be Assigned) Group Art Unit: To be Assigned
Filed: Via Express Mail on November 21, 2001)
For: *Articulating Disc Screen Apparatus*)
for Recyclable Materials)

COPY OF PAPERS
ORIGINALLY FILED

**STATEMENT BY SHERRE A. TREAT IN SUPPORT OF
USPTO PATENT APPLICATION FILING DATE**

BOX AMENDMENT - NO FEE
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned is employed as an Administrative Assistant in the Law Offices of Michael H. Jester. Mr. Jester is a registered patent attorney.

On November 21, 2001, I attempted to file the above-identified application in the U.S. Patent and Trademark Office by depositing the same as Express Mail, at the San Diego Main Post Office located on Midway Drive, San Diego, California. As the attached photocopy confirms, the Express Mail envelope was returned to the Law Offices of Michael H. Jester with a note indicating that the return was due to temporary suspension of Express Mail Service to Washington, D.C., zip codes 202 through 205.

The original returned Express Mail envelope, including all of its contents and still sealed, are transmitted herewith. This statement and the referenced Express Mail envelope are being mailed by First Class Mail today, November 28, 2001, to the following address:

BOX FILING DATE
Assistant Commissioner for Patents
Washington, D.C. 20231

The postage for this mailing is pre-paid.

I hereby declare that all statements made herein, based on my own knowledge, are true; that all statements made herein based on information and belief are believed to be true; that all statements made herein were made with the knowledge that willful false statement and the like so made are punishable by fine, or imprisonment, or both, under Title 18, USC § 1001; and that violations of the foregoing statute may jeopardize the validity of the referenced U.S. patent application or the validity or enforceability of any U.S. patent that results therefrom.

Dated: Nov 28, 2001 By: Sherre A. Treat
Sherre A. Treat

10044233 112101

"EXPRESS MAIL" MAILING LABEL NO. EL584488748US

DATE OF DEPOSIT: November 21, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO: BOX PATENT APPLICATION, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

Sherre Anne Treat

Sherre Anne Treat

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)
)
Robert M. Davis) Examiner: To be Assigned
)
Serial No.: To be Assigned) Group Art Unit: To be Assigned
)
Filed: Herewith)
)
For: *Articulating disc Screen Apparatus*)
for Recyclable Materials)

COPY OF PAPERS
ORIGINALLY FILED

TRANSMITTAL OF FORMAL DRAWINGS

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Official Draftsperson

Transmitted herewith are two (2) sheets of formal drawings for the subject U.S. patent application filed concurrently herewith.

Respectfully submitted,

M. H. Jester 11/21/01

By: Michael H. Jester
Attorney for Applicant
Registration No. 28,022

THE LAW OFFICES OF MICHAEL H. JESTER
Symphony Towers, Suite 2560
750 "B" Street
San Diego, California 92101

Telephone: (619) 231-9090
Facsimile: (619) 231-9093